

# Investment Suitability Questionnaire

## What is your primary purpose for investing?

- 4  Charitable Giving      3  Retirement Plan  
2  Education                      1  Emergency Savings

## What is your experience with investing?

- 4  I have invested in stocks and was comfortable with the risk.  
3  I have not invested in stocks but I would be comfortable with the risk.  
2  I have invested in stocks and was uncomfortable with the risk.  
1  I have never invested in stocks, but I think I would be uncomfortable with the risk.

## If the value of your portfolio decreased by 15% in one year, how would you react?

- 1  I would be very concerned, and I would find another way to invest.  
2  I would be concerned, and would rethink the aggressiveness of my portfolio.  
4  I would not be concerned about the temporary fluctuation.

## What best describes your objective toward investing?

- 4  I want my assets to grow as much as possible over the course of many years, even though I might experience more short term volatility.  
3  I would like a balance of growth and stability.  
2  My primary goal is to protect my assets, but I would like some growth.  
1  I want a stable investment at all times.

## If the stock market increased by 15% while the value of your portfolio, which is composed primarily of bonds and cash, increased by 4% in one year, what would your reaction be?

- 1  I would replace the bond and cash portions of my portfolio with stocks.  
2  I would add more stocks, but they would not make up the majority.  
4  I would not change my portfolio.

## When will you need to begin withdrawals from your investment?

- 5  More than 15 years from now  
4  In 11-15 years  
3  In 7-10 years  
2  In 4-6 years  
1  Less than 3 years from now

## Do you expect to withdraw more than 30% of your investment within 10 years? If yes, then when?

- 4  No  
3  7 -10 years  
2  4-6 years  
1  Within 3 years

## Titus

Total Score: 7 - 14

A conservative growth portfolio that seeks to provide consistent returns with limited volatility.

## Tiberius

Total Score: 15 - 21

A moderate growth portfolio that seeks to provide above average rates of returns while preserving capital during declining markets.

## Tyrannus

Total Score: 22 - 28

A long-term growth portfolio seeking to provide superior rates of return regardless of the overall global market environment.

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Total Score & Portfolio Choice

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Primary Account Holder Signature

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Secondary Account Holder Signature